Instance-Optimal Quantum State Certification with Entangled Measurements

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Joint work with Ryan O'Donnell (CMU) arxiv:2507.06010

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Lower Bound Techniques

Upper Bound Techniques

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Quantum State Certification

- A tester is given the **complete description** of a quantum state $\sigma \in \mathbb{C}^{d \times d}$ and n **copies** of an unknown state $\rho \in \mathbb{C}^{d \times d}$.
- Promised that $\rho = \sigma$ or $\|\rho \sigma\|_1 \ge \epsilon$.
- ε-certifying σ: distinguishing between these cases with high probability.

Motivation

- Benchmarking quantum devices.
- Verifying heuristic quantum learning algorithms.

Also, a direct quantum analogue of **identity testing** in classical distribution testing:

Given the description of a distribution q, and n samples from an unknown distribution p, test whether p=q or $||p-q||_1 \ge \epsilon$.

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- This establishes a tight worst-case complexity: $\Theta(d/\epsilon^2)$.
- But the problem could be much easier for other choices of $\sigma!$
- For e.g., when σ is pure, $\mathcal{O}(1/\epsilon^2)$ copies suffice [MdW16].

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 [CLO22,CLHL22] have answered this question when testers can only perform single-copy measurements.

[CLO22]: Toward instance-optimal state certification with incoherent measurements: Chen-Li-O'Donnell 2022

[CLHL22]: Tight bounds for quantum state certification with incoherent

measurements; Chen-Li-Huang-Liu 2022

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- We even have classical bounds for instance-optimal identity testing in various forms [VV17, DK16, BCG19].

[VV17]: An automatic inequality prover and instance optimal identity testing; Valiant-Valiant 2017.

[DK16]: A new approach for testing properties of discrete distributions; Diakonikolas-Kane 2016.

[BCG19]: Distribution testing lower bounds via reductions from communication complexity; Blais-Canonne-Gur 2019.

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- [CLO22,CLHL22] have answered this question when testers can only perform single-copy measurements.
- We even have classical bounds for instance-optimal identity testing in various forms [VV17, DK16, BCG19].
- However, when quantum testers are unrestricted, instance-optimal bounds were not known.

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Upper Bound Techniques

Nearly Instance-Optimal Bounds

Theorem (Main Result)

With fully entangled measurements, the copy complexity n of ϵ -certifying σ satisfies

$$\widetilde{\Omega}\left(\frac{d\cdot F(\underline{\sigma},1/d)}{\epsilon^2}\right)\leq n\leq \widetilde{\mathcal{O}}\left(\frac{d\cdot F(\overline{\sigma},1/d)}{\epsilon^2}\right).$$

 $\underline{\sigma}, \overline{\sigma}$ are variants of σ constructed by zeroing out suitable eigenvalues adding up to $\mathcal{O}(\epsilon), \mathcal{O}(\epsilon^2)$ respectively.

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- For $\sigma = 1/d$, $n = \tilde{\Theta}(d/\epsilon^2)$.
- For pure σ , $n = \tilde{\Theta}(1/\epsilon^2)$.

Mixedness Testing Lower Bound

- Our main theorem recovers the mixedness testing bounds up to $\log(d/\epsilon)$ factors.
- Directly applying our techniques to mixedness testing, we actually recover $\Omega(d/\epsilon^2)$ without any log factors!
- With our new lower bound technique, this proof is much simpler than that of [OW15]!

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- Can't even be used for certifying nearly maximally mixed states: e.g. states with spectrum

$$\left(\underbrace{\frac{1}{2d},\ldots\frac{1}{2d}}_{2d/3},\underbrace{\frac{2}{d},\ldots\frac{2}{d}}_{d/3}\right).$$

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We need a new way to prove the mixedness testing lower bound!

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- Relate to χ^2 -divergence: $d_{TV} \leq \frac{1}{2} \sqrt{d_{\chi^2}}$.
- n must be large enough so that $\mathrm{d}_{\chi^2}(\mathbb{E}_{ heta}[q_{m{ heta}}^{\otimes n}]\|q^{igotimes n}) \geq c$.
- Use the Ingster-Suslina method [IS12] to explicitly compute ${\rm d}_{\chi^2}$ and easily upper bound it.

[[]IS12]: Nonparametric goodness-of-fit testing under Gaussian models; Ingster-Suslina 2012.

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- New Tool: A quantum Ingster-Suslina method to explicitly compute D_{χ^2} and easily upper bound it!

Quantum χ^2 -divergence

Given two states ρ, σ , let $\Delta = \rho - \sigma$. Then,

$$\mathrm{D}_{\chi^2}(\rho\|\sigma) = \mathrm{tr}\Big(\sigma^{-1}\Delta^2\Big) = \mathrm{tr}\Big(\sigma^{-1}\rho^2\Big) - 1.$$

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Want to upper bound $D_{\chi^2}(\mathbb{E}_{\theta}[\sigma_{\boldsymbol{\theta}}^{\otimes n}] \| \sigma^{\otimes n})$.

A Quantum Ingster-Suslina Method

We show:

$$D_{\chi^2}(\mathbb{E}_{\theta}[\sigma_{\boldsymbol{\theta}}^{\otimes n}] \| \sigma^{\otimes n}) + 1 = \mathbb{E}_{\boldsymbol{\theta}, \boldsymbol{\theta'}}(1 + Z(\boldsymbol{\theta}, \boldsymbol{\theta'}))^n$$

where

$$Z(m{ heta}, m{ heta'}) = ext{tr} \Big(\sigma^{-1} \Delta_{m{ heta}} \Delta_{m{ heta'}} \Big) \quad ext{and} \quad \Delta_{m{ heta}} = \sigma_{m{ heta}} - \sigma.$$

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Usage for certification lower bounds:

- 1. Construct a suitable mixture of alternatives $\{\sigma_{\theta}\}_{\theta}$.
- 2. Upper bound $\mathbb{E}_{\theta,\theta'} \exp(nZ(\theta,\theta'))$.

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Quantum Paninski Construction:

$$\sigma_{\boldsymbol{U}} \triangleq \frac{1}{d} + \frac{\epsilon}{d} \boldsymbol{U} \Sigma \boldsymbol{U}^{\dagger},$$

where $\Sigma = \operatorname{diag}(+1, -1, \dots, +1, -1)$ and $\boldsymbol{U} \sim \mathit{U}(d)$.

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• $Z({m U},{m V})={
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- We use standard Haar-measure concentration inequalities to bound $\mathbb{E}_{\boldsymbol{U},\boldsymbol{V}} \exp(nZ(\boldsymbol{U},\boldsymbol{V}))$ and get:

$$\|\mathrm{D}_{\chi^2}(\mathbb{E}_{oldsymbol{U}}[\sigma_{oldsymbol{U}}^{\otimes oldsymbol{n}}]\|\sigma^{\otimes oldsymbol{n}}) \leq \exp\!\left(rac{C\cdot n^2\epsilon^4}{d^2}
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This is $\Omega(1)$ only if $n = \Omega(d/\epsilon^2)$.

Lower Bounds for Nearly Mixed States

The same technique also works for nearly maximally mixed states! In general, for well-conditioned states σ , we show:

$$n \ge \Omega\left(\frac{d^{5/2}}{\epsilon^2 \cdot \|\sigma^{-1}\|_2}\right).$$

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But this bound is not always strong enough!

Consider, e.g., σ with spectrum $= (\Omega(1/d), \ldots, \Omega(1/d), 1/d^2)$.

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Such a state has

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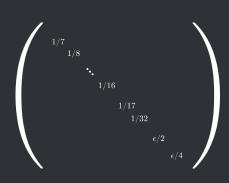
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⇒ We place too much emphasis on the smallest eigenvalues!

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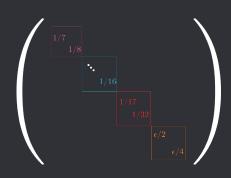
- WLOG, we assume $\sigma = \operatorname{diag}(\lambda_1, \dots \lambda_d)$.
- Group λ_i s into buckets: $i \in S_i$ if $\lambda_i \in [2^{-j-1}, 2^{-j})$.
- Group some small λ_i s adding up to $\mathcal{O}(\epsilon)$ into S_{tail} .

```
\begin{array}{c|c} & & & \\ & & & \\ & & \ddots & \\ & & & 1/16 \\ & & & 1/17 \\ & & & 1/32 \\ & & & & \epsilon/2 \\ & & & & \epsilon/4 \end{array}
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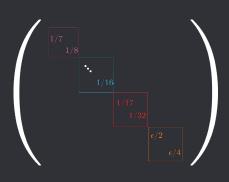
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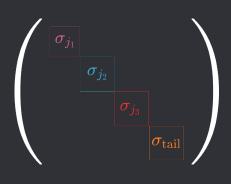
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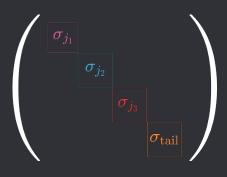


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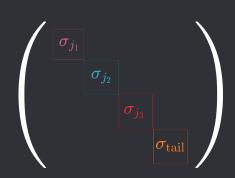


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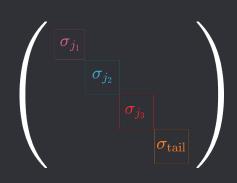




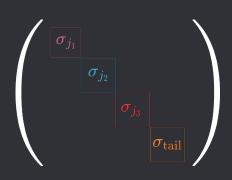
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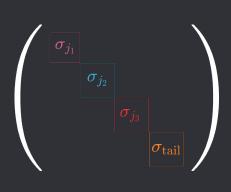
- $\mathbb{C}^{d_j \times d_j} \ni \Sigma_j \stackrel{\triangle}{=} \operatorname{diag}(+1, -1, \dots, +1, -1).$
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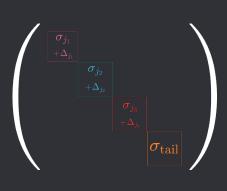
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$$\mathrm{D}_{\chi^2}(\mathbb{E}_{\vec{\boldsymbol{U}}}[\sigma_{\vec{\boldsymbol{U}}}^{\otimes n}] \| \sigma^{\otimes n}) = \mathbb{E}_{\vec{\boldsymbol{U}},\vec{\boldsymbol{V}}} \left[(1 + Z(\vec{\boldsymbol{U}},\vec{\boldsymbol{V}}))^n \right] - 1,$$

$$egin{aligned} \mathrm{D}_{\chi^2}(\mathbb{E}_{ec{m{U}}}[\sigma^{\otimes n}_{ec{m{U}}}] \| \sigma^{\otimes n}) &= \mathbb{E}_{ec{m{U}},ec{m{V}}}\left[(1 + Z(ec{m{U}},ec{m{V}}))^n
ight] - 1, \ & ext{where} \ & Z(ec{m{U}},ec{m{V}}) &= \mathrm{tr}\Big(\sigma^{-1}\Delta_{ec{m{U}}}\Delta_{ec{m{V}}}\Big). \end{aligned}$$

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$$\sigma^{-1}$$



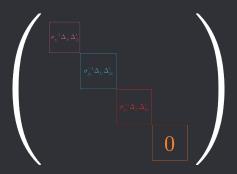
$$\sigma^{-1}\Delta_{\vec{\pmb{U}}}\Delta_{\vec{\pmb{V}}}$$

$$\left(egin{array}{c|c} \sigma_{j_1}^{-1} & & & \\ \hline \sigma_{j_1}^{-1} & & & \\ \hline \sigma_{j_1}^{-1} & & & \\ \hline \sigma_{tall}^{-1} & & & \\ \hline \end{array}
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Final Steps

$$egin{aligned} \mathrm{D}_{\chi^2} + 1 & \leq \mathbb{E}_{ec{oldsymbol{U}}, ec{oldsymbol{V}}} \exp\left(n \cdot Z(ec{oldsymbol{U}}, ec{oldsymbol{V}})
ight) \ & = \mathbb{E}_{ec{oldsymbol{U}}, ec{oldsymbol{V}}} \exp\left(\sum_{j} n \cdot \mathrm{tr}\left(\sigma_{j}^{-1} \Delta_{j} \Delta_{j}'
ight)
ight) \ & = \prod_{j} \mathbb{E}_{oldsymbol{U}_{j}, oldsymbol{V}_{j}} \exp\left(n \cdot \mathrm{tr}\left(\sigma_{j}^{-1} \Delta_{j} \Delta_{j}'
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- Pick $\{\epsilon_j\}$.
- Corner cases: all buckets have $d_j=1$ or $\|
 ho\|_\infty\geq rac{1}{2}.$

Details in the paper (Section 5)

Outline

Background

Our Results

Lower Bound Techniques

Upper Bound Techniques

[CLO22] showed that if $\|\rho - \sigma\|_1 \ge \epsilon$, then there are a few "simpler" ways in which these states can be far.

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⇒ They test for each such case with an unentangled-measurement Hilbert-Schmidt certifier.

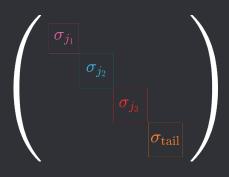
[CLO22] showed that if $\|\rho - \sigma\|_1 \ge \epsilon$, then there are a few "simpler" ways in which these states can be far.

- ⇒ They test for each such case with an unentangled-measurement Hilbert-Schmidt certifier.
- ⇒ We replace this with an entangled-measurement certifier.

Theorem (Hilbert-Schmidt Tester from [BOW19])

There exists an algorithm HSCertify that can distinguish between $\rho = \sigma$ and $\|\rho - \sigma\|_2 \ge \epsilon$ using $\mathcal{O}(1/\epsilon^2)$ copies of ρ .

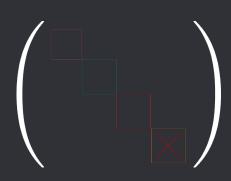
Upper Bound



Upper Bound



Case 1: The unknown state has too much weight on the tail.

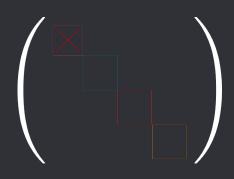


Case 1: The unknown state has too much weight on the tail.

Can be tested for with $\mathcal{O}(1/\epsilon^2)$ (unentangled!) measurements (from [CLO22]).

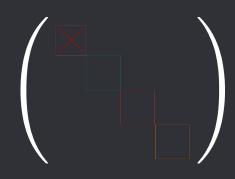


Case 2: For some bucket j, $\|\rho_j - \sigma_j\|_1$ is too large.



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After simple pre-processing, projecting and then passing to HSCertify, this can be handled with $\tilde{\mathcal{O}}\left(\frac{d\cdot F(\overline{\sigma},\mathbb{1}/d)}{\epsilon^2}\right)$ copies of ρ .



Case 3: For some buckets j, j', the non-principal submatrices are too far.



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Assuming Case 2 does not hold, this can again be handled with $\tilde{\mathcal{O}}\left(\frac{d\cdot F(\overline{\sigma},\mathbb{1}/d)}{\epsilon^2}\right)$ copies of ρ .



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Total complexity: Case
$$1+$$
 Case $2+$ Case $3=\mathcal{\tilde{O}}\left(\frac{d\cdot F(\overline{o},\mathbb{1}/d)}{\epsilon^2}\right)$

We have shown nearly instance-optimal bounds for state certification with entangled measurements:

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- The amounts of mass removed to get $\underline{\sigma}, \overline{\sigma}$ do not match; can this be overcome?
- What about state certification with t-copy measurements, with $1 < t \ll d/\epsilon^2$? [CCHL21] have some partial results, but even worst-case bounds remain open.

[CCHL21]: A hierarchy for replica quantum advantage; Chen-Cotler-Huang-Li 2021

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Thank you! arxiv:2507.06010 chirag.wadhwa@ed.ac.uk